



राइट्स लिमिटेड  
(भारत सरकार का प्रतिष्ठान)  
**RITES LIMITED**  
(Schedule 'A' Enterprise of Govt. of India)

No. RITES/SECY/NSE

Date: 21.06.2021

To: Listing Department, National Stock Exchange of India Limited. 'Exchange Plaza', C-1, Block G, Bandra - Kurla Complex, Bandra (E), Mumbai - 400 051	To: Corporate Relationship Department, BSE Limited, Rotunda Building, P J Towers, Dalal Street, Fort, Mumbai - 400 001
Scrip Code- RITES	Scrip Code- 541556

**Sub: Annual Secretarial Compliance Report for the year ended March 31, 2021**

Dear Sir/ Madam,

This is to inform you that pursuant to Clause 3(b) of SEBI Circular No. CIR/CFD/CMD1/27/2019 dated February 08 2019, we submit herewith the Annual Secretarial Compliance Report of the Company for the year ended March 31, 2021 issued by Practicing Company Secretaries, M/s. Akhil Rohatgi & Co.

Kindly take this information on record and disseminate the same.

Thanking You,

Yours faithfully,  
For RITES Limited

**Joshit Ranjan Sikidar**  
Company Secretary & Compliance Officer  
Membership No. : A32442

जोशित रंजन सिकिदर/  
महाप्रबंधक (वित्त) एवं कंपनी सचिव/Finance and Company Secretary  
राइट्स लिमिटेड/RITES Limited  
(भारत सरकार का प्रतिष्ठान)/(A Govt. of India Enterprise)  
राइट्स भवन-1, सेक्टर-29/RITES Bhawan-1, Sector-29  
गुडगाँव-122 001 (भारत)/Gurgaon-122 001 (INDIA)



**AKHIL ROHATGI & COMPANY**

Company Secretaries

21, Sharnath Marg, Civil Lines, Delhi – 110054.

Phone : 011-23926504, 9810690633, 8527087435

Email : rohatgi\_co\_secy@yahoo.co.in

csdelhi84@gmail.com

**SECRETARIAL COMPLIANCE REPORT OF RITES LIMITED  
(CIN L74899DL1974GOI007227) FOR THE YEAR ENDED  
31<sup>ST</sup> MARCH, 2021.**

We, Akhil Rohatgi & Company, Company Secretaries, have examined:

- (a) all the documents and records made available to us and explanation provided by **RITES Limited** ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31<sup>st</sup> March, 2021 ("Review Period") in respect of compliance with the provisions of :

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars / guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; Not applicable during the Review Period
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; Not applicable during the Review Period.
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; Not applicable during the Review Period





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Company Secretaries

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- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (i) Securities and Exchange Board of India (Depositories & Participants) Regulation, 1996 and 2018
- (j) The Securities and Exchange Board of India (Delisting of Equity Shares) Regulations, 2009 - Not applicable during the period under review
- (k) Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993

and circulars/ guidelines issued thereunder;

and based on the above examination, We hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder
- (b) The Listed Entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder in so far as it appears from our examination of those records.
- (c) No actions were taken against the Company/ its promoters/ directors either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:
- (d) Since there was no observation in the previous report for the year ended March 31<sup>st</sup>, 2020, no action needs to be taken.

For Akhil Rohatgi & Co  
Company Secretaries



Akhil Rohatgi  
Partner

FCS No: 1600

CP No: 2317



Date: 18.06.2021

Place: Delhi

UDIN: F001600C000481081